

# Master Thesis Defense

## Knowledge Production and Control of a Black Box Using Machine Learning

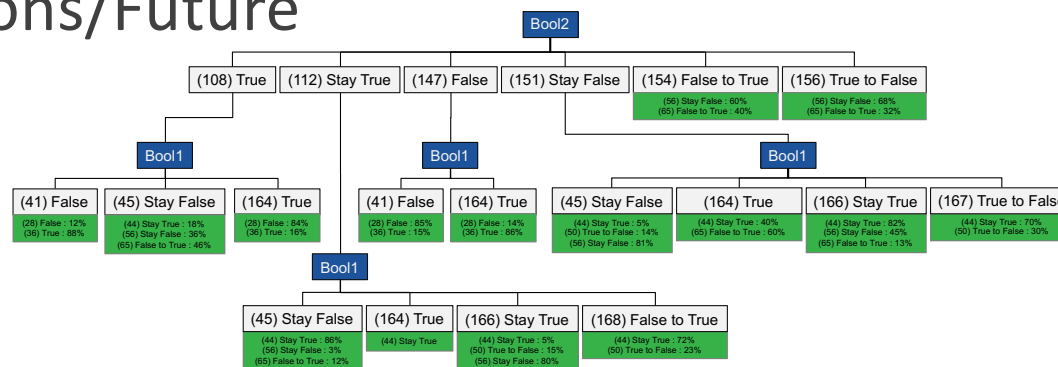
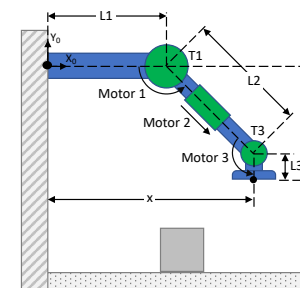
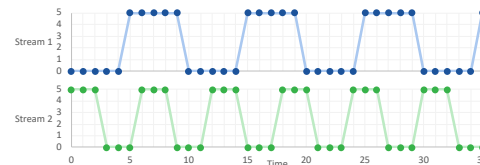
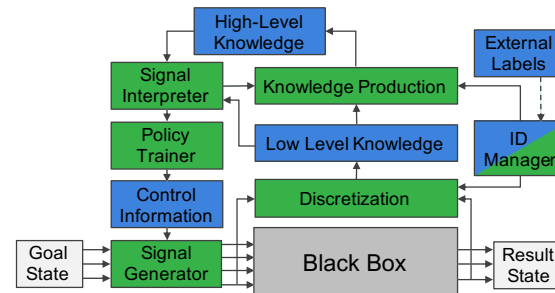
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November 2018

# Overview

- Background + Motivation
- Problem Statement
- Related Work
- Mathematical Formulation
- Learning Theory
- Experimental Setup
- Results
- Conclusion
- Recommendations/Future



# Background

- 7 Years Industry, Product Development
- B.S. Mechanical Engineering
- Ex-Dancer
- Ex-Gymnast

**PURDUE**  
UNIVERSITY



## Motivation and Inspiration

- Development Times
- Testing Times
- Learning Dance (as an Adult)
- Observing Children (and Adults)

# Problem Statement

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- Complex products/systems are regularly developed.
- Requires a costly-to-develop control process.
- Requires extensive analysis and domain knowledge.



## Objectives

- ✓ Creation of an automatic or semi-automatic method for development of control systems.
- ✓ Identification of the unique data experienced by a model.
- ✓ Identification of the repeating structures within the unique data.
- ✓ Identification of the primitive functions of the model, providing the primitive control mechanism.

## Enables

- ✓ Shorter time-to-market
- ✓ Extended analysis
- ✓ More capable products

# Related Work

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## Iterative Learning Control

- Optimal Control
- Adaptive Control
- Robust Control
- Intelligent Control

## Mixed Approach

- Neural Networks
- Genetic Algorithms
- Traditional Feedback

\*Language Learning

## Disadvantages

- < 5 Parameter Optimization
- Require Prior Knowledge
- Require Domain Knowledge

# Most Similar Work

Kevin L Moore. Iterative Learning Control. *Iterative Learning Control for Deterministic Systems*, pages 425–488, 1993.

## Process

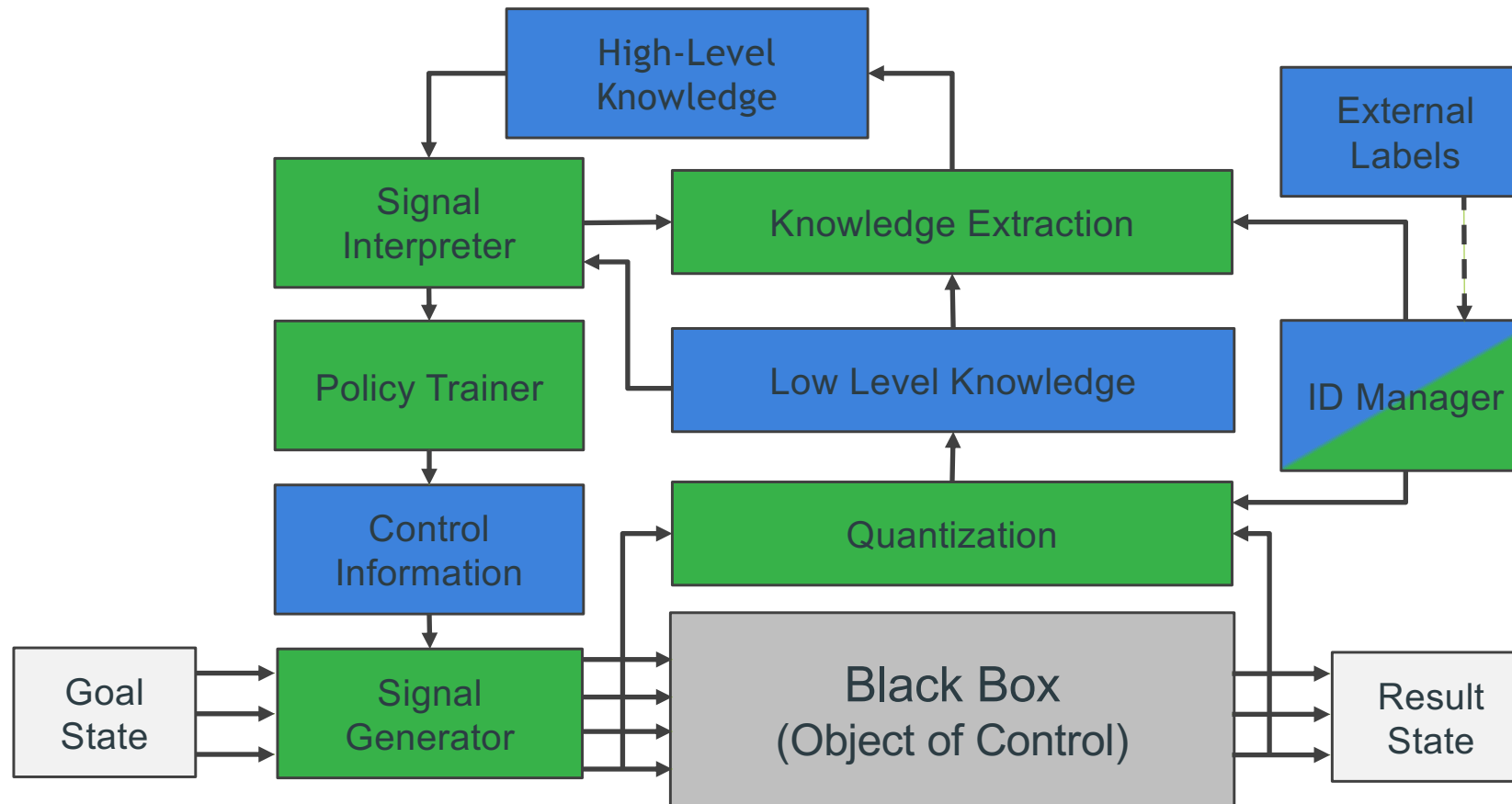
1. Neural network (NN) trained to emulate the plant.
2. Controller trained on emulated plant NN.
3. NN backpropagation provides error for controller training.

## Advantages

- Handles more variables
- Automatic method
- Intelligent process

## Disadvantages

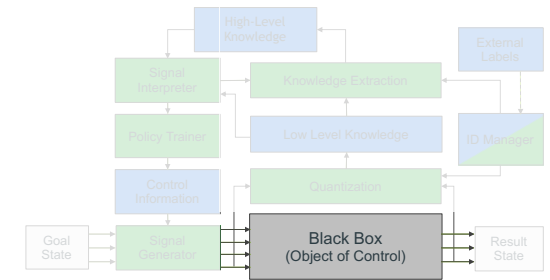
- Only deterministic systems
- Prior info of plant
  - Feedback type
  - Degrees of freedom
- Error info not real
- Domain knowledge



## Advantages

- Easy-To-Read Results
- Less Prior Information
- Stream-Based
- Non-Deterministic Systems
- Extensible Architecture
- Layered Knowledge

# Formulation - Uncertain Model



## Function Space

$$F = \{f_1(X), f_2(X), \dots, f_l(X)\}$$

(with internal memory)

Movement

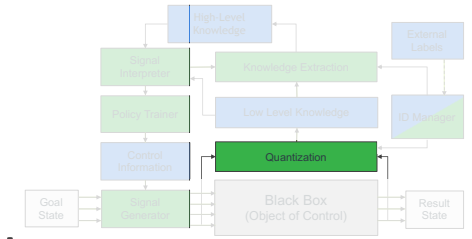
Sound

Math

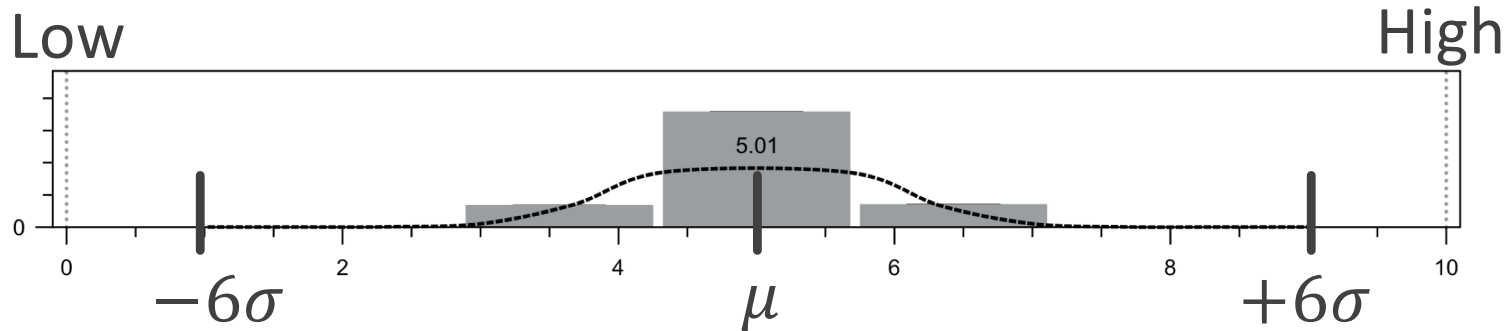


$d$  = number of inputs  
 $k$  = number of outputs  
 $l$  = number of functions





# Formulation - Quantization



## Bin Statistics

$$N = \sum 1_t$$

$$Sum = \sum v_t$$

$$SqSum = \sum v_t^2$$

$$\mu = Sum/N$$

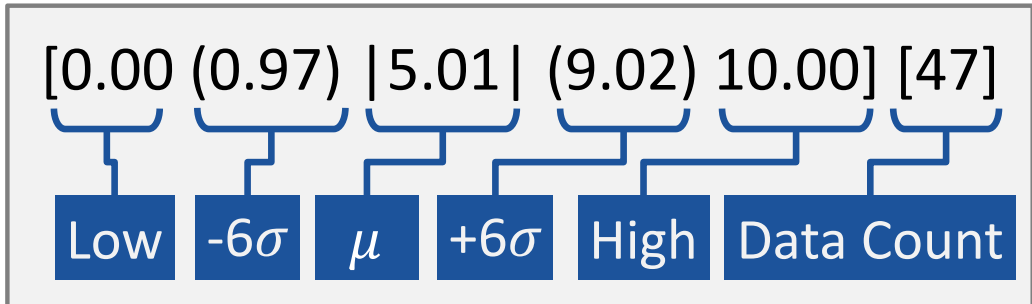
$$\sigma = \sqrt{SqSum - 2N\mu + N\sigma^2}$$

## Action Space

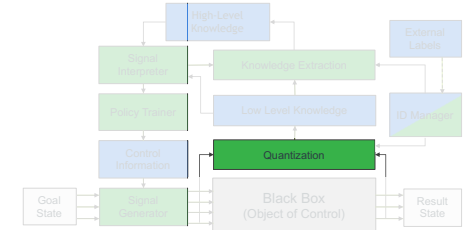
$$S = Split(inner\_value)$$

$$M = Merge(neighbor)$$

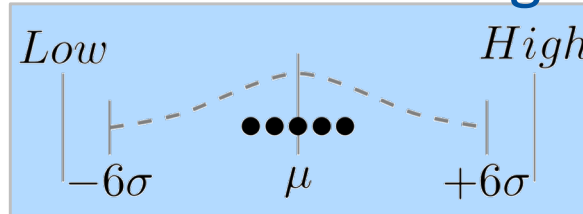
## Nomenclature



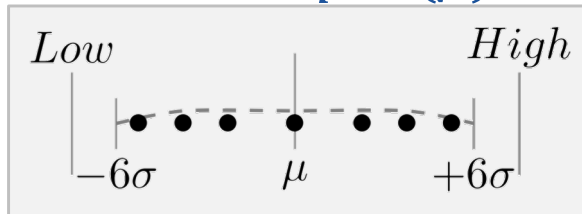
# Theory - Quantization



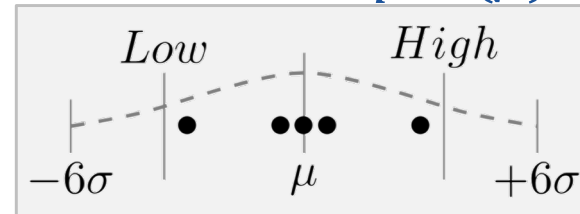
## Well-Formed Range



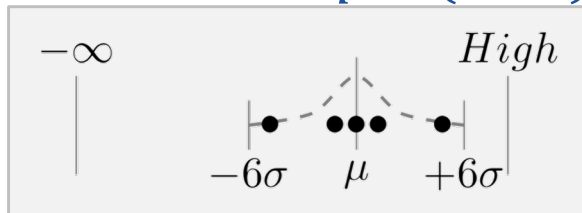
Flat  $\rightarrow$  *Split*( $\mu$ )



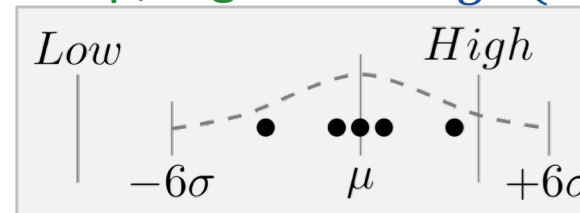
Outside  $\rightarrow$  *Split*( $\mu$ )



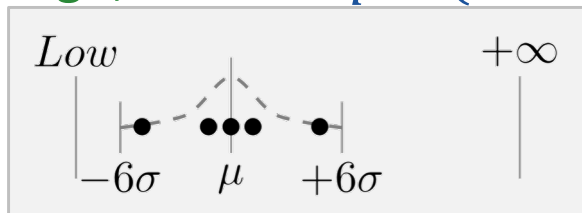
Low,  $-\infty \rightarrow$  *Split*( $-6\sigma$ )



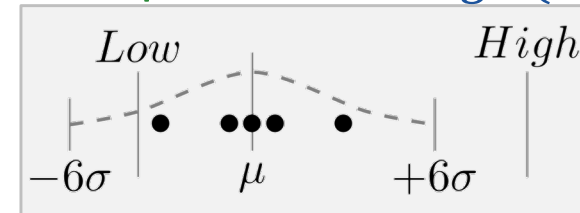
Overlap, high  $\rightarrow$  *Merge*(high)



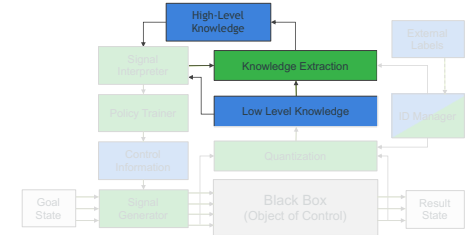
High,  $+\infty \rightarrow$  *Split*( $+6\sigma$ )



Overlap, low  $\rightarrow$  *Merge*(low)



# Formulation - Knowledge Model



## Knowledge Space

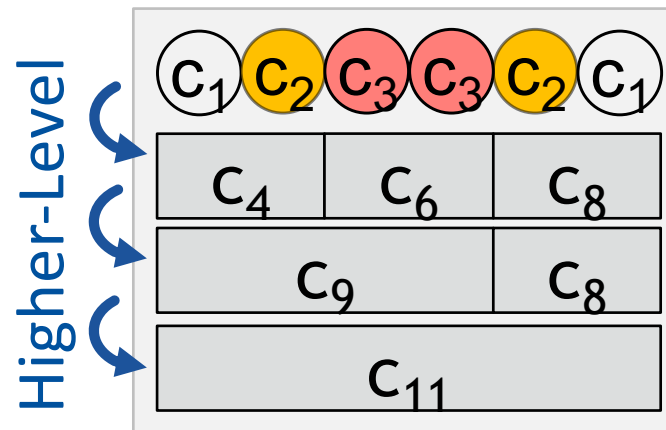
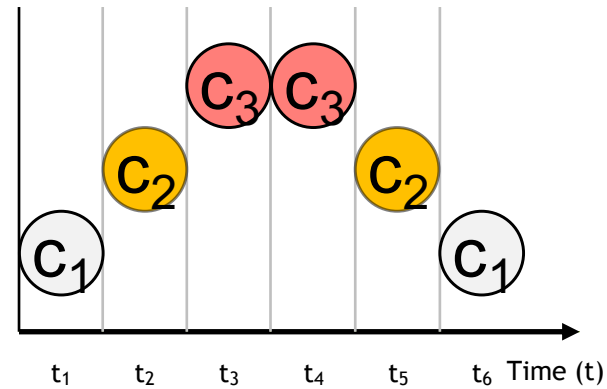
$$c \in \mathcal{C}$$

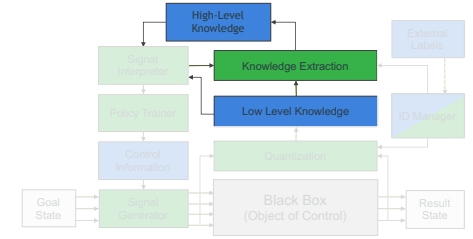
## Sequentiality

$$c_i = (c_1, c_3, c_4)$$

## Simultaneity

$$c_i = (c_1; c_2)$$



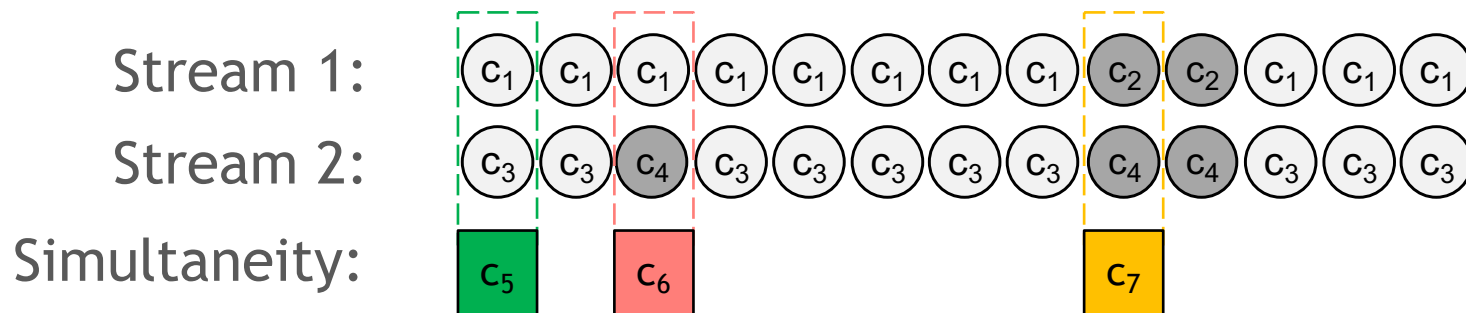
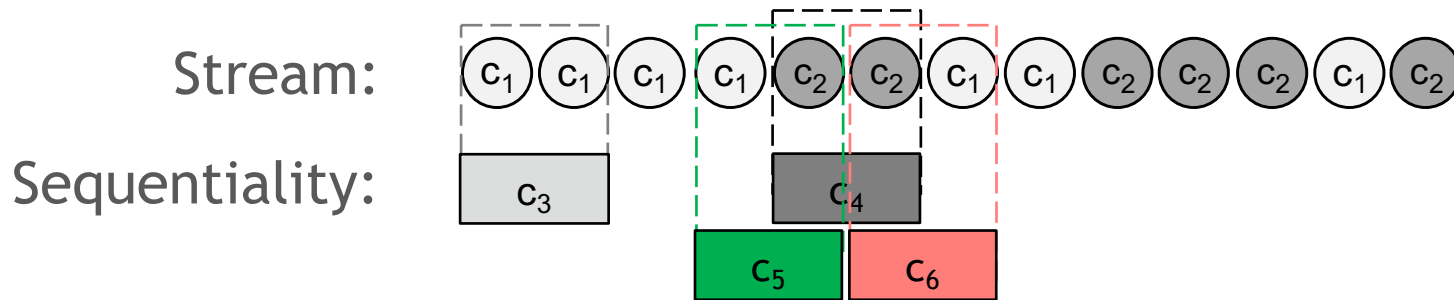


# Theory - Knowledge Extraction

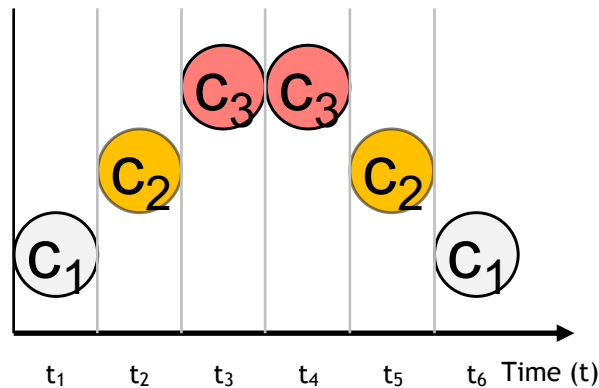
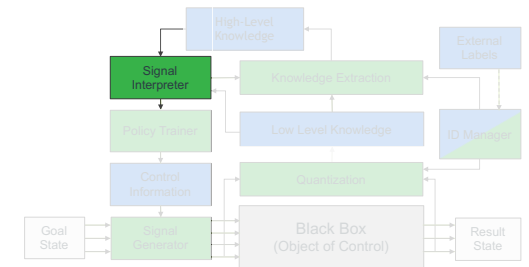
Raw Value: (0.1) (-0.1) (0.0) (0.2) (0.3) (0.1) (-0.1) (0.3) (5.0) (5.1) (0.2) (0.1) (-0.2) (4.9) (4.8) (5.2) (5.1) (5.0) (0.1) (0.1) (0.0)

Quantized: (0) (0) (0) (0) (0) (0) (0) (0) (5) (5) (0) (0) (0) (5) (5) (5) (5) (5) (0) (0) (0)

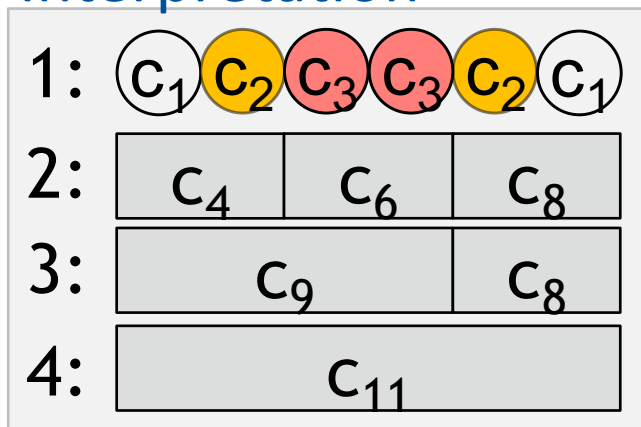
Interpreted: (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>2</sub>) (C<sub>2</sub>) (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>2</sub>) (C<sub>2</sub>) (C<sub>2</sub>) (C<sub>2</sub>) (C<sub>2</sub>) (C<sub>1</sub>) (C<sub>1</sub>) (C<sub>1</sub>)



# Theory - Interpretation

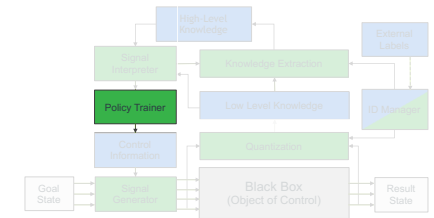


## Interpretation



Pass	Interpretation	Generated Knowledge
1	c1; c2; c3; c3; c2; c1	c4 =(c1;c2) c5 =(c2;c3) c6 =(c3;c3) c7 =(c3;c2) c8 =(c2;c1)
2	c4; c6; c8	c9 =(c4;c6) c10 =(c6;c8)
3	c9; c8	c11 = (c9; c8)
4	c11	(done)

# Formulation - RLDT



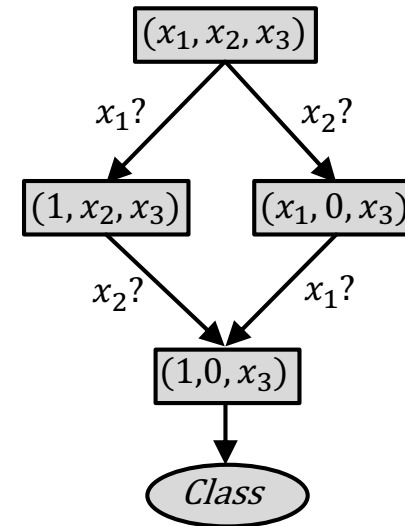
## State ( $s \in S$ )

Set of feature-value pairs.

Feature	Values
Color	white, brown,
Bruise	yes, no
Oder	choc, fruity, none

## Actions ( $F, R \in A$ )

Query – for another feature’s value  
Report - pick a classification label



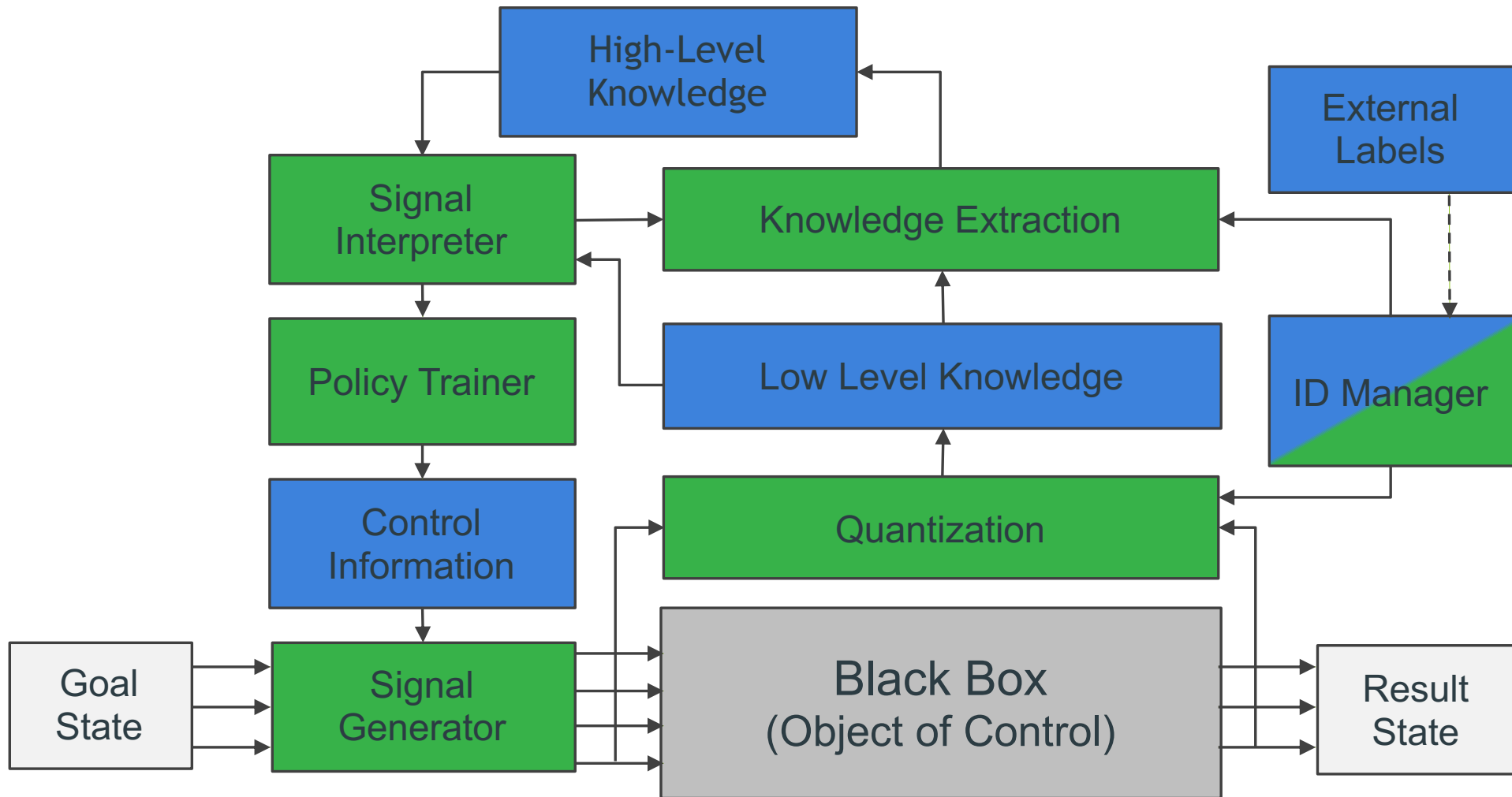
## Value Function ( $Q$ )

(-) for each query  
(+) for each correct classification  
(-) for each incorrect classification

## Policy (Decision Tree)

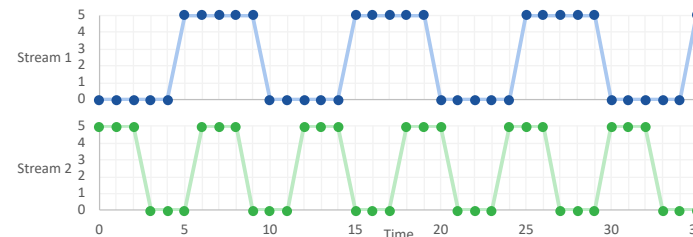
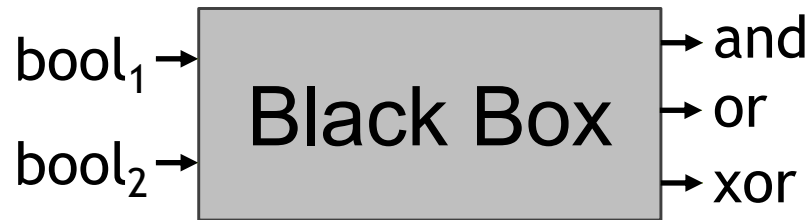
1. Good classification results
2. Fewer queries

# Combined Processes

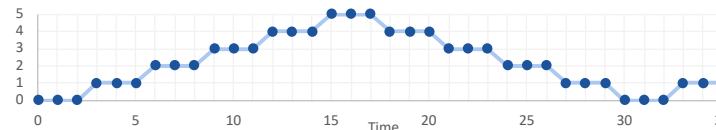


# Experimentation

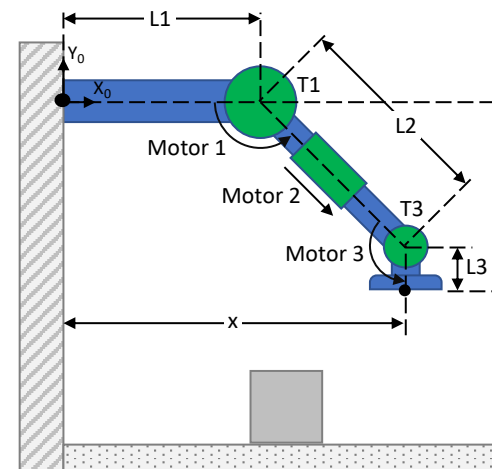
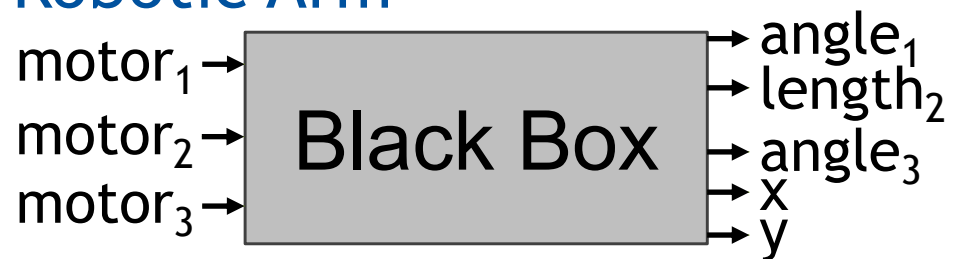
## Logic Operators



## Trigonometric Functions



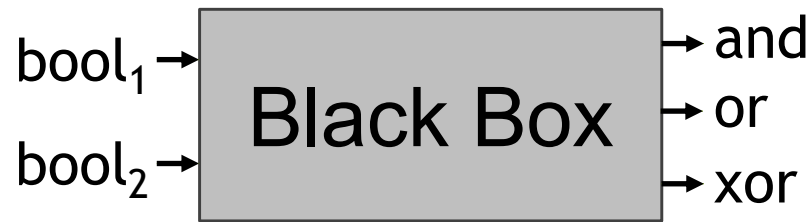
## Robotic Arm





# Results

## Logic Operations

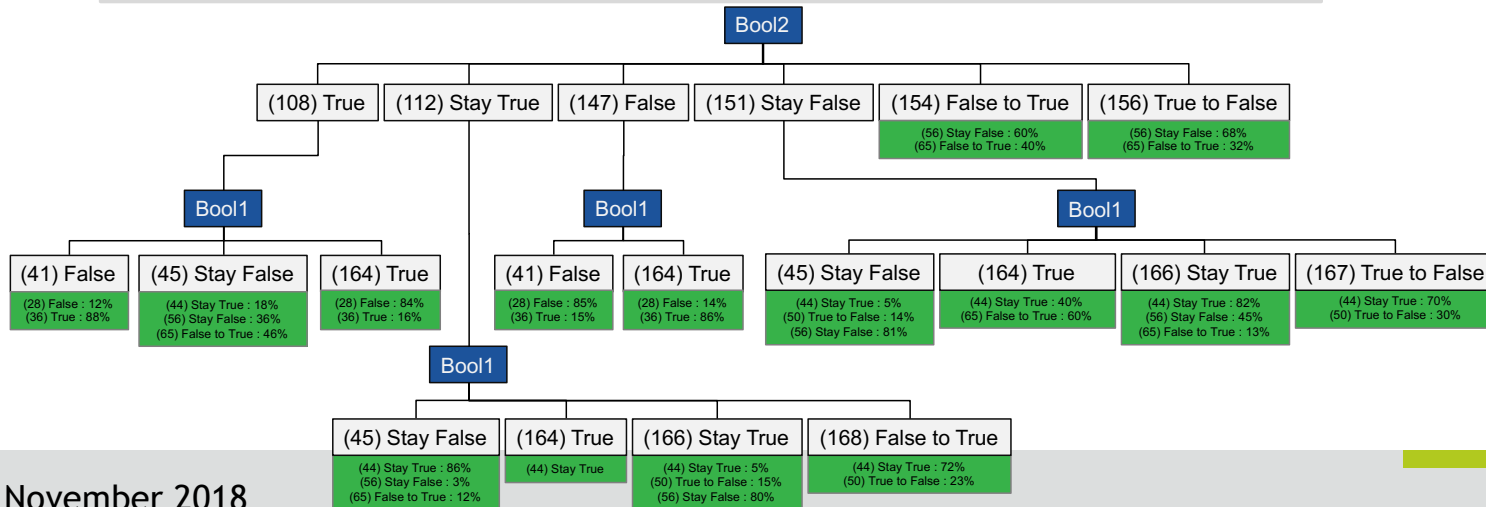
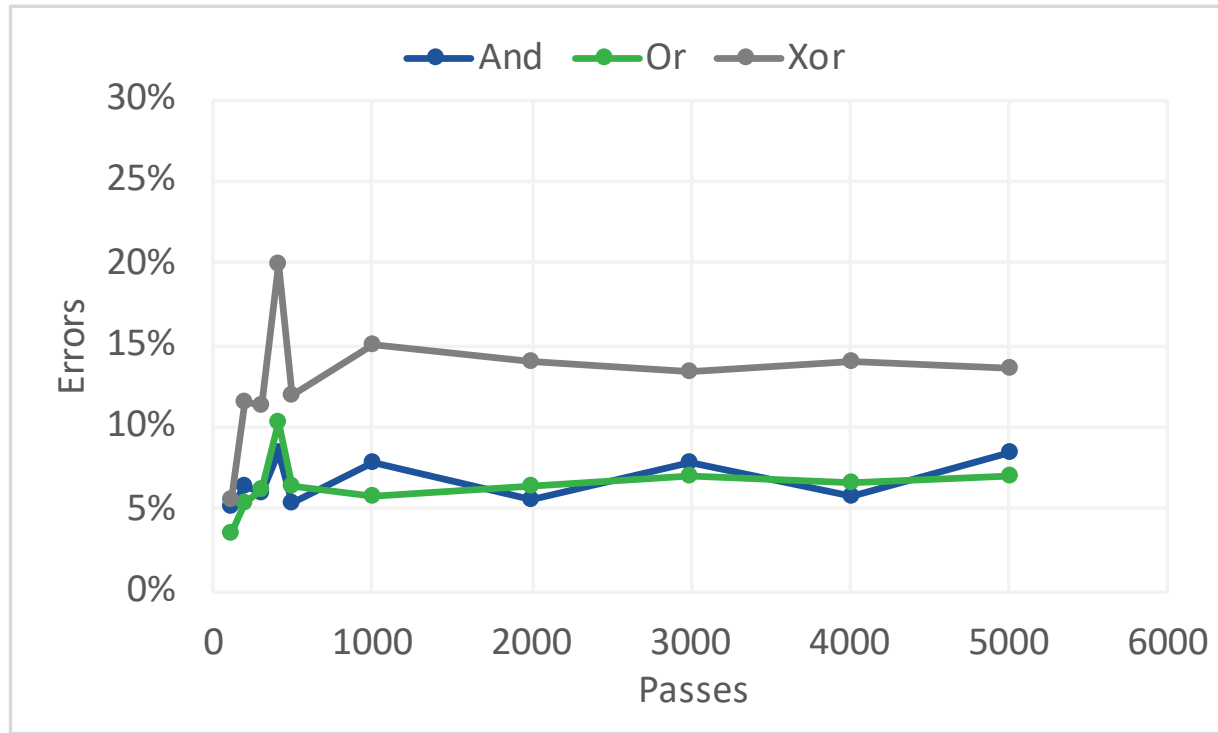
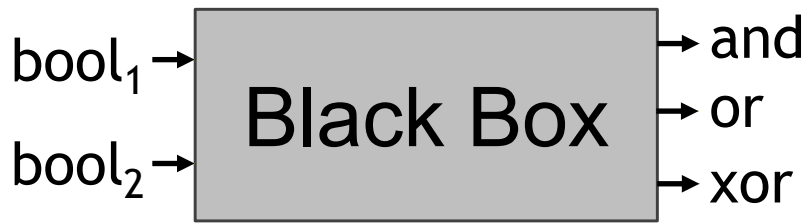


Bool1		
ID	Name	Content
8		$[-\infty   \infty   0.00]$
41	False	$[0.00 (0.00)   0.00   (0.00) 0.00]$
45	Stay False	(41; 41)
164	True	$[0.00 (5.00)   5.00   (5.00) 5.00]$
165		$[5.00   \infty   \infty]$
166	Stay True	(164; 164)
167	True to False	(164; 41)
168	False to True	(41; 164)

Exclusive Or		
ID	Name	Content
14		$[-\infty   \infty   0.00] (\infty)$
28	False	$[0.00 (0.00)   0.00   (0.00) 0.56]$
35		$[0.56   \infty   5.00] (\infty)$
36	True	$[5.00 (5.00)   5.00   (5.00) \infty]$
44	Stay True	(36; 36)
50	True to False	(36; 28)
56	Stay False	(28; 28)
65	False to True	(28; 36)

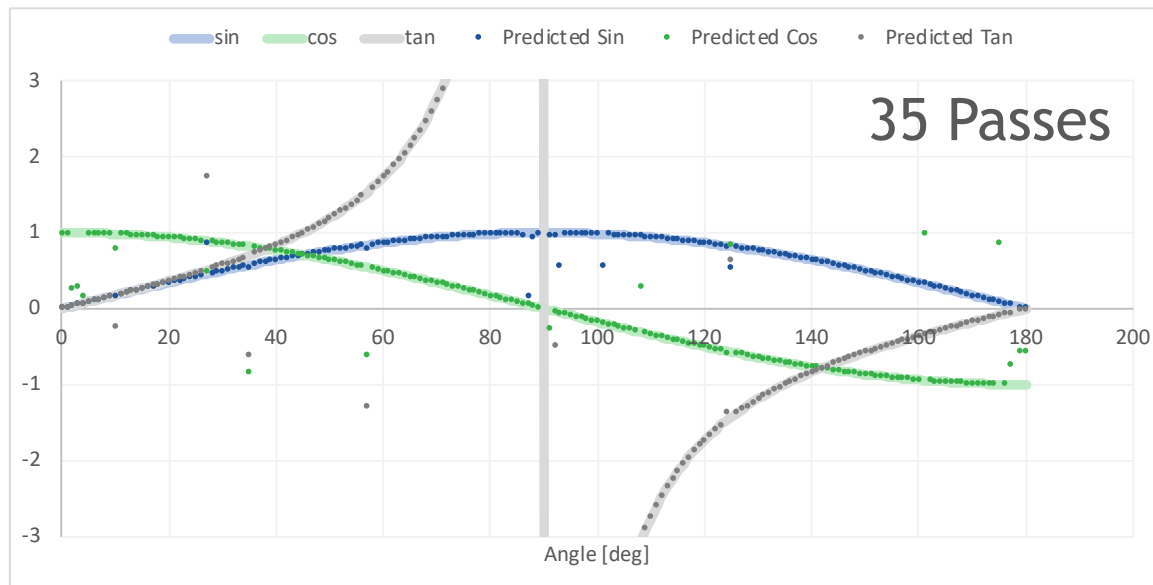
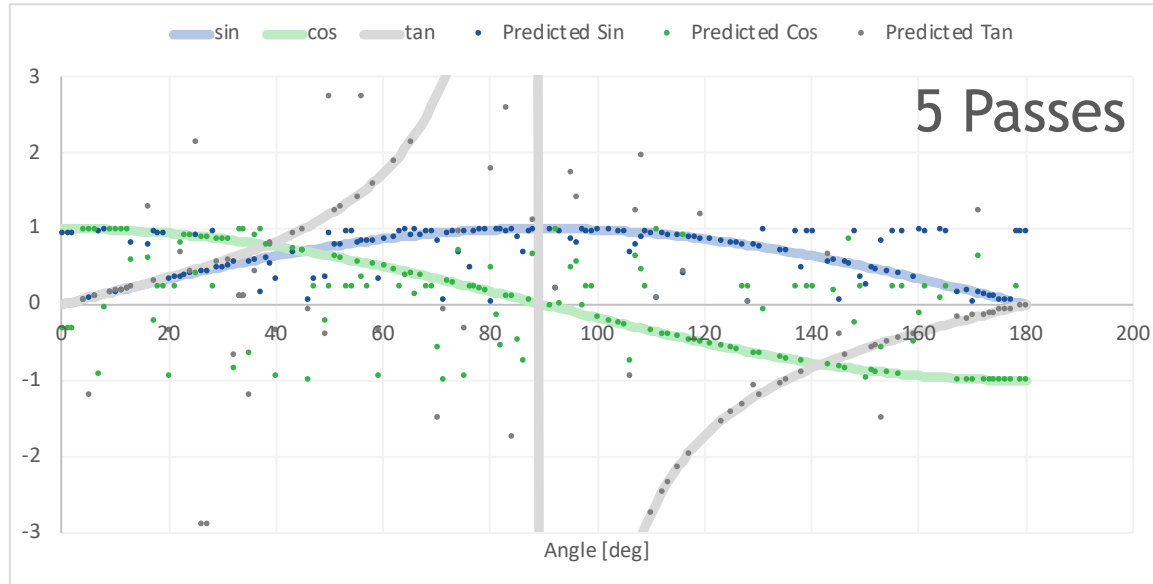
# Results

## Logic Operations



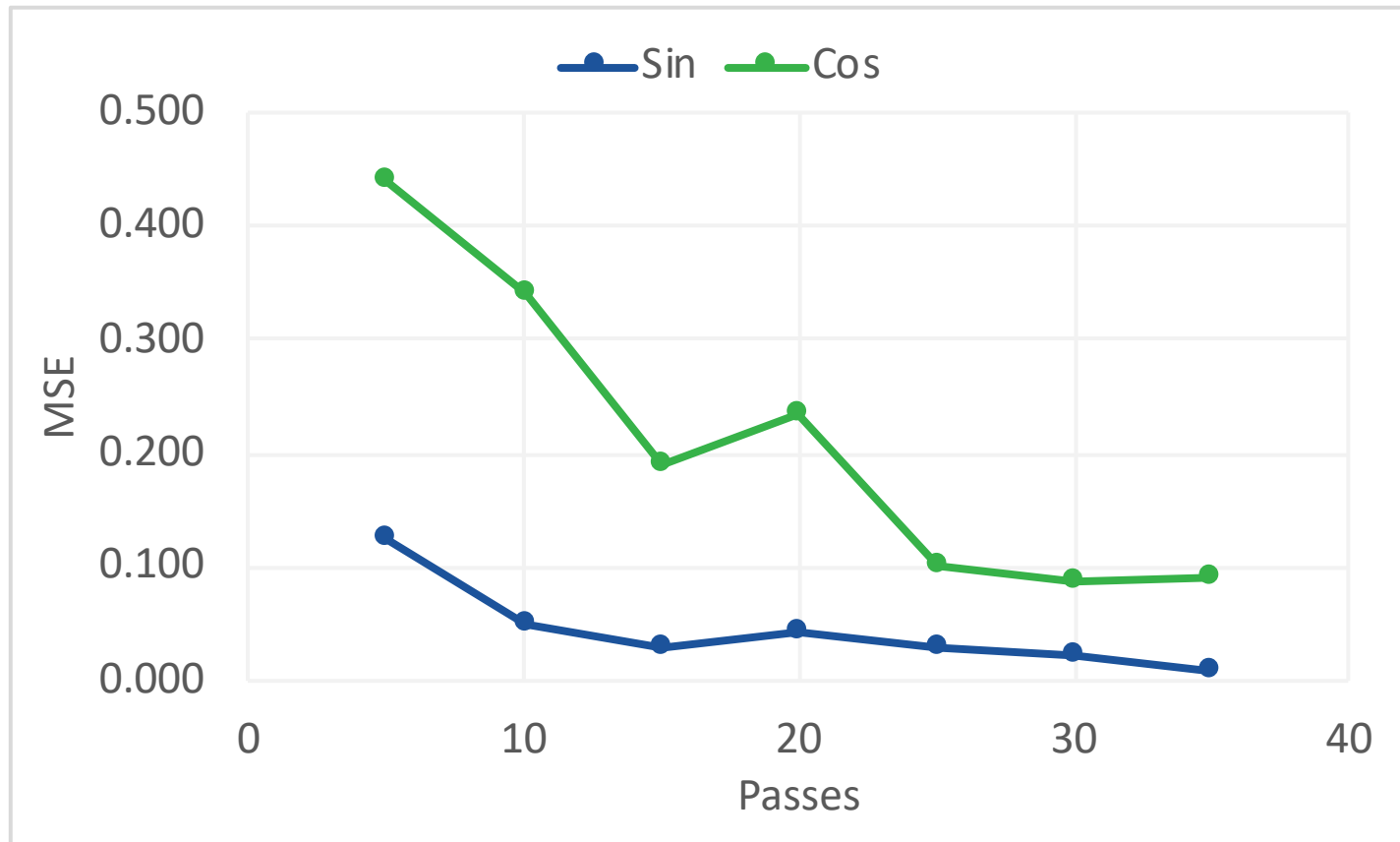
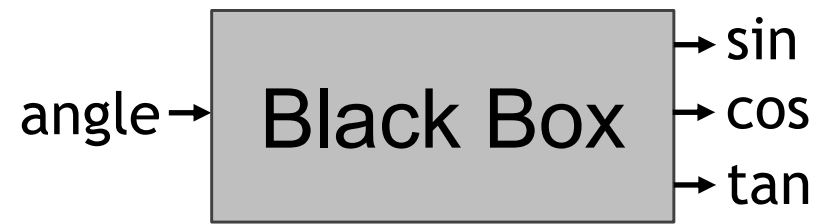
# Results

## Trig Functions



# Results

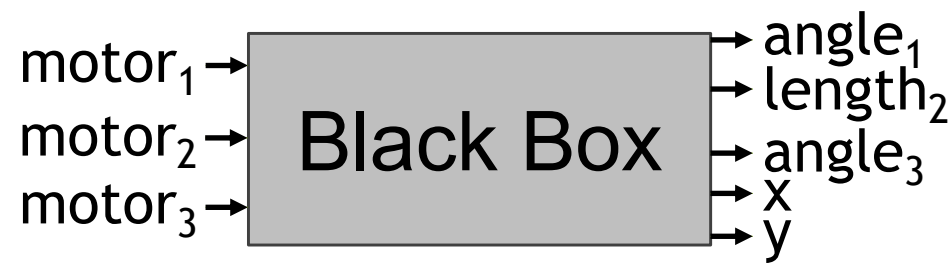
## Trig Functions



\*Tan not shown

# Results

Robotic Arm

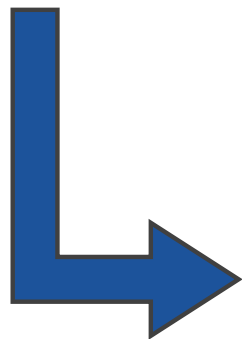
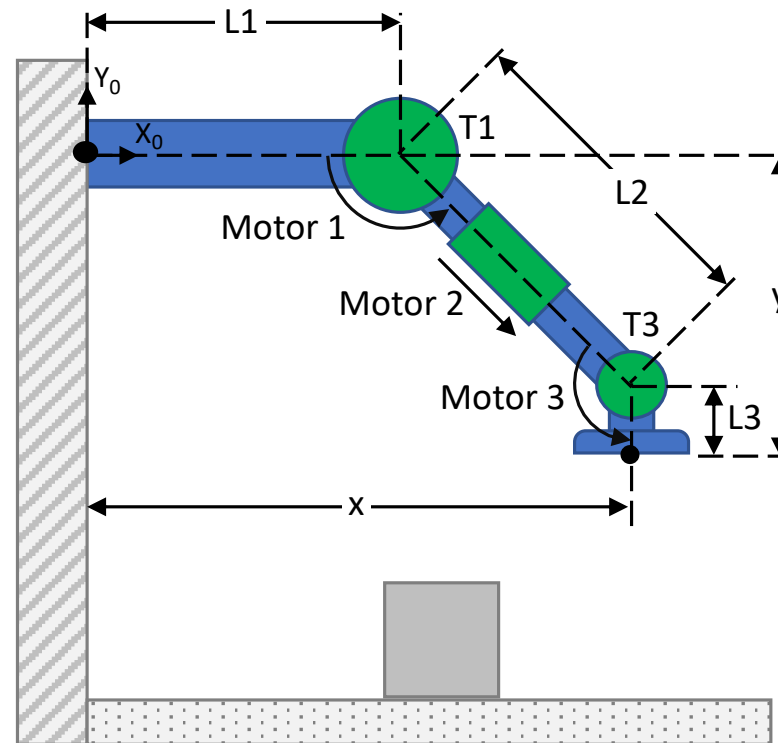


## Learned

- Input Vocabulary

## Failed

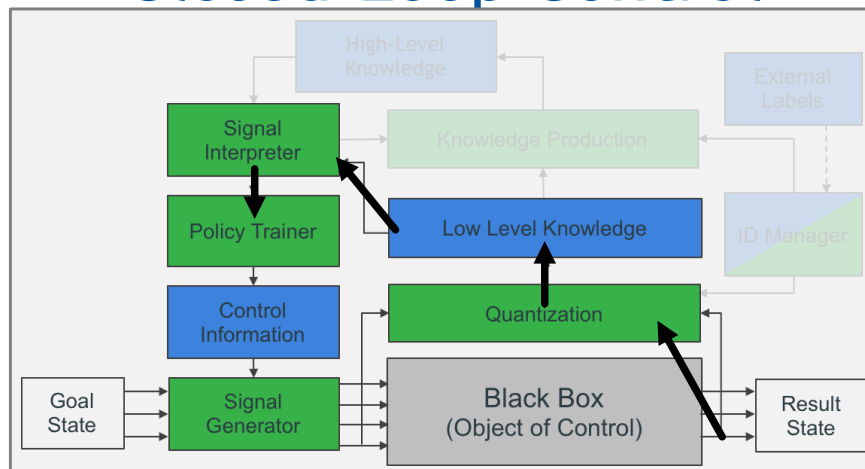
- Output Vocabulary
- Policy Generation



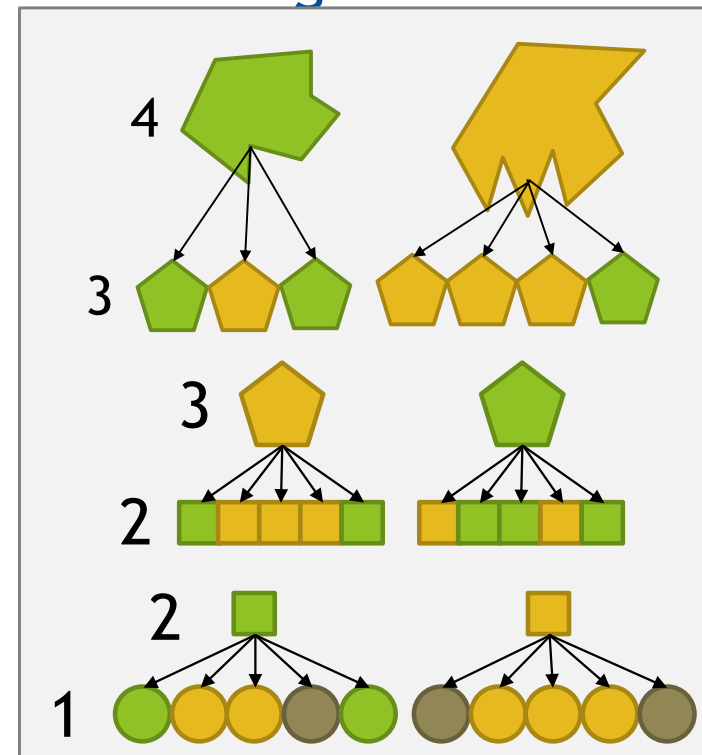
## Expected Result

# Future

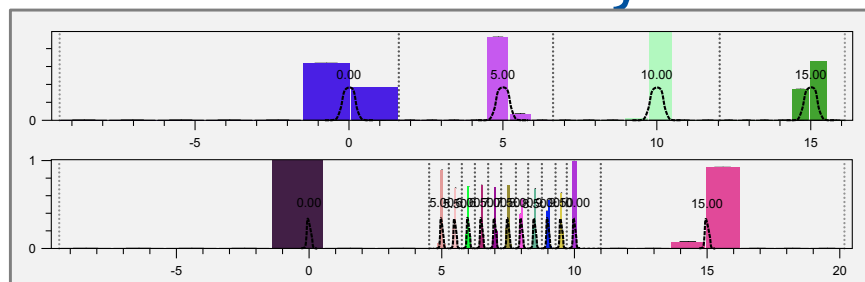
## Closed-Loop Control



## Expanded Knowledge Production



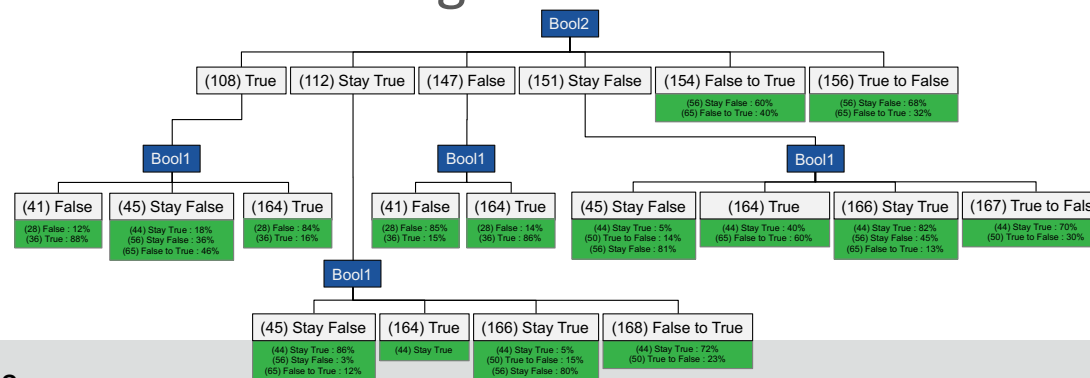
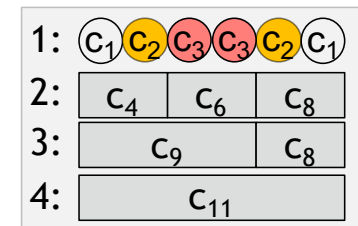
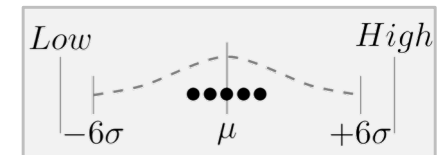
## Device Safety



# Conclusions (1/2)

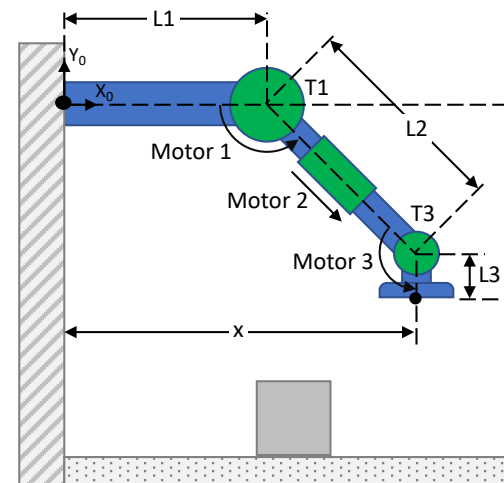
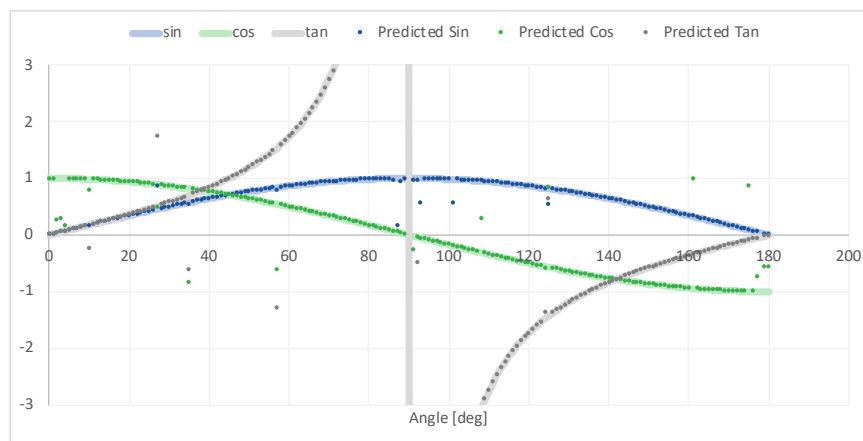


- The **unique values** of the inputs and outputs of a system can be identified and labeled while streaming, assuming a gaussian distribution.
- Complex knowledge can be developed out of the simple concepts of **simultaneity** and **sequentiality**.
- Simple (low-level) knowledge can be combined to form more complex (**higher-level**) knowledge, and be tracked.
- A **knowledge-based decision tree** can be generated using reinforcement learning.



# Conclusions (2/2)

- Testing shows that systems with **binary, categorical** and even **continuous** data can be learned.
- Testing shows that **only deterministic** systems are currently possible.
- **Dynamic systems** are theoretically **possible** with adaption of the learning process, but this is not yet tested.





# References

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- Abhinav Garlapati, Aditi Raghunathan, Vaishnavh Nagarajan, and Balaraman Ravindran. A Reinforcement Learning Approach to Online Learning of Decision Trees. Technical report, Department of Computer Science, Indian Institute of Technology, Madras, 2015.
- Simon Kirby. Edinburgh Occasional Papers in Linguistics Language evolution without natural selection: From vocabulary to syntax in a population of learners. Technical report, 1998.
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- Derrick H. Nguyen and Bernard Widrow. Neural networks for self-learning control systems, 1991.
- Youqing Wang, Furong Gao, and Francis J Doyle Iii. Survey on iterative learning control, repetitive control, and run-to-run control. *Journal of Process Control*, 19:1589–1600.

THANK YOU